

Form CRS (Customer Relationship Summary)

June 30, 2020

GFG Securities, LLC (“GFG” or the “Firm”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA). We are also affiliated with a Registered Investment Adviser, GFG Capital, LLC (“GFG Capital”). For additional information regarding advisory services available with GFG Capital or to request a copy of GFG Capital’s Form CRS, you can call (305) 810-6500. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research different firms and financial professionals at [Investor.gov/CRS](#), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Our retail brokerage services include transactions in securities. These include private offerings and real-estate investments. If you invest with us, you will pay a transaction-based fee, generally referred to as a commission or sales charge, every time you buy or sell an investment. There is no minimum amount required to make an investment through us, but we may offer some investments which require a minimum investment.

When we provide brokerage services, we do not monitor your account or investment. This means the ultimate decision regarding an investment strategy or the purchase or sale of an investment will be yours. In addition, we are not a full-service broker-dealer and there are accounts, products, and investment options which we do not offer, but may benefit your portfolio.

For more detailed information on the products and services we offer, including limitations, [click here](#)¹.

Ask your Financial Professional

- *Given my financial situation, should I choose brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications and what do these qualifications mean?*

What fees will I pay?

When you invest through us, you will pay a transaction-based fee. This fee is based on the specific transaction. This fee is typically called a commission or sales charge and will typically be part of the price you pay for an investment.

Certain investments may also impose additional fees that will reduce the value of your investment over time. From a cost perspective, you may prefer a transaction-based fee if you do not trade often or if you plan to buy and hold investments for longer periods of time. For a complete list of these costs and descriptions of the fees you will pay, you should review the investment prospectus, private placement memorandum (PPM), or other offering materials and subscription agreements. More transactions result in us earning more fees. Therefore, we could have an incentive to encourage you to engage in additional transactions.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information regarding fees and cost associated with investment [click here](#)¹.

Ask your Financial Professional

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

¹ <https://www.gfgsecurities.com/disclosures>

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations, we provide you. Here are some examples to help you understand what this means.

For brokerage services, when our interests conflict with your interests, we must tell you about them and in some cases take steps to reduce or eliminate these conflicts. Conflicts may result in you paying more for your investments than you would if the conflict did not exist.

We make money by buying and selling you securities and investment products. Because we get paid when you complete a transaction, we could have an incentive to encourage you to make larger investments and more frequently.

Some of GFG's officers are also affiliated with or act in additional capacities with some of the issuers we may recommend you invest with. This means we have a financial interest in these entities and have an incentive to recommend them. For some products we receive higher compensation than other products. We could have an incentive to encourage you to buy products or investments that pay us more, even if other options might be available to you.

For more detailed information and a complete list of our conflicts of interest and a description of all the ways we make money, [click here](#)¹

Ask your Financial Professional

- *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Your financial professional is paid a percentage of the selling compensation and commissions described above. In addition, financial professionals may also receive bonuses and additional indirect compensation for an investment depending on their capacity and affiliation with the issuer.

Do you or your financial professionals have legal or disciplinary history?

No, you can visit [Investor.gov/CRS](#) for a free and simple search tool to research more information about our Firm and your financial professional.

Ask your Financial Professional

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

You can find additional information regarding our brokerage services by visiting our website, [www.gfgsecurities.com](#). If you need any other up-to-date information or would like a copy of our relationship summary sent to you, call us at (305)810-6555

Ask your Financial Professional

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*